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## Additional Brokerage – OAPI Campaign

(Tata Banking & Financial Services Fund, Tata Digital India Fund, Tata India Consumer Fund, Tata India Pharma & Health Care Fund, Tata Resources & Energy Fund)

Total Mobilization (In Rs.) (During Apr 2017 to June 2017)	Upfront	Additional Trail		
		1st Year	2nd Year	3rd Year
= > Rs. 7 Lacs to < Rs. 15 Lacs	Nil	0.10% apm	0.10% apm	0.10% apm
= > Rs. 15 Lacs to < Rs.25 Lacs	Nil	0.15% apm	0.15% apm	0.15% apm
= >Rs.25 Lakhs	Nil	0.20% apm	0.20% apm	0.20% apm

### Terms & Conditions:

1. Total mobilization means total gross sales (on the ARN) in the 5 funds during the defined period.
2. All the applications logged in during 1<sup>st</sup> April 2017 to 30th June 2017 & clear allotted will be considered.
3. The inflows through the credit of previously registered SIPs/STPs will be excluded. However in case of new SIPs/STPs registered during Apr'17 to Jun'17 period, the amount of credit received during Apr'17 to Jun'17 period will be considered in sales for calculation of "Total Mobilization" target amount.
4. The first payment of the additional trail (for the period Apr'17 to Jul'17 period) will be with July'17 brokerage payable in Aug'17 & thereafter on the monthly basis till the end of 3 years (from the date of allotment).
5. The commission rates are inclusive of all taxes, levies, statutory dues and service tax. If any tax is required to be deducted at source, the same will be deducted from the payment of the distributors.
6. TATA AMC may change the rates/periodicity etc of commission in case of change in regulations/Load Structure/expense ratio and any other factors which have an impact on such payments. Such Change would be applicable for remaining SIP/STP installments also.
7. Please read the latest SID and addendums carefully to confirm the scheme details.
8. Vide SEBI circular dated November 28th,2002 and AMFI's subsequent circulars, intermediaries are not entitled to commission/incentive on their own investment. Commission/ incentive is not payable for investment made by sponsor(s) of TATA AMC.
9. In accordance with the clause 4(d) of SEBI Circular No. SEBI/IMD/CIRNo.4/168230/09 dated June30,2009, the distributors should disclose all the commissions (in the form of trail commission or any other mode) payable to them for the different competing schemes of various mutual funds from amongst which the scheme is being recommended to the investor. Distributors are advised to ensure strict compliance of the same.
10. The objective of the above structure is to reward genuine investments canvassed by distributors. Any misuse of the commission/reward structure reward structure should be discouraged and commission of such distributors found involved in any malpractice may be withheld.
11. TATA AMC reserves the right to modify, withdraw or suspend the brokerage structure at any time.