

## UTI Asset Management Company Limited

## Commission Structure for Debt and Liquid Schemes for Multi City ARNs

The below structure is applicable for Q3 FY 16-17, from Oct 1, 2016 to December 31, 2016

## Liquid Category Funds

Scheme Name	Volume Slabs	Upfront	Trail - 1st year	2nd year o/w Trail Perpetual
UTI Liquid Fund Cash Plan	Any Amount	NIL	0.05%	0.05%
UTI Money Market Fund	Any Amount	NIL	0.10%	0.10%

## Ultra Short Term Category Funds

Scheme Name	Volume Slabs	Upfront	Trail - 1st year	2nd year o/w Trail Perpetual
UTI Treasury Advantage Fund	Any Amount	NIL	0.10%	0.10%

Scheme Name	Volume Slabs	Upfront	Trail - 1st year	2nd year o/w Trail Perpetual
UTI Floating Rate Fund	Any Amount	NIL	0.85%	0.50%

## Other Debt Category Funds

Scheme Name	Volume Slabs	Upfront	Trail - 1st year	2nd year o/w Trail Perpetual
UTI G-Sec Short Term Plan	Any Amount	NIL	0.20%	0.20%
UTI Banking & PSU Debt Fund	Any Amount	NIL	0.05%	0.05%
UTI Short Term Income Fund	Any Amount	NIL	0.55%	0.50%
UTI Gilt Advantage Fund	Any Amount	NIL	0.35%	0.35%

Scheme Name	Volume Slabs	Upfront	Trail - Yr 1, Yr 2 & Yr 3	Trail 4th Yr onwards
UTI Medium Term Fund	Any Amount	NIL	0.90%	0.70%
UTI Dynamic Bond Fund	Any Amount	NIL	1.15%	0.80%
UTI Bond Fund				
UTI Income Opportunities Fund				
UTI Monthly Income Scheme	Any Amount	NIL	1.25%	0.80%
UTI MIS Advantage				

## Please note :

- The structure of commission is independently applicable to each scheme
- Intermediaries are not entitled to commission / incentive on their own business. Commission/ incentive is not payable for investment made by sponsors of UTI AMC.
- The commission rates are inclusive of all taxes/ levies/statutory duties /service tax etc
- First year trail commission is calculated from the date of the investment till the end of the first year of investment or till the investor stays invested in the scheme, whichever is earlier and is calculated on pro-rata basis, by taking the average of net asset value during the period under consideration and on the outstanding units.
- Second year & onwards trail commission is calculated from date of completion of one year from the date of investment. It is calculated on pro-rata basis, by taking average of net asset value during the period under consideration. Second year trail commission as applicable to the scheme is paid either perpetually till the investor stays invested in the scheme or for the specific period mentioned in the commission structure applicable to the scheme
- UTI AMC may change the rates / periodicity etc of commission in case of change in regulations / expense ratio and any other factors which have an impact on such payments.
- UTI AMC reserves the right to modify, withdraw or suspend the brokerage structure at any time.
- For upfront commission, in case investment is redeemed on or before 365 days, the proportionate of upfront (including additional upfront/ incentive, if any) paid shall be recovered/clawed back/ Set off from the future brokerage payments, at AMC's sole discretion.