

DSP BlackRock Mutual Fund

Brokerage Period: From 1st July 2016 To 30th Sept 2016

Distributor:



Transaction Types: Lump Sum Purchases; Switch Ins, SIP/STP

Type of Brokerage	Clawback	Upfront (Trial Paid in advance)	Trail Year 1	Total Payout Year 1	Additional B15 Incentive	Total Payout Year 1 - B15	Trail Brokerage for : T15 & B 15		
		T15 & B15	T15 & B15	T15			Year 2	Year 3	Year 4 & Onwards
Location		All Amt	All Amt	All Amt					
Transaction Limit (Rs)									
Schemes:									
Equity									
DSPBR Top 100 Equity Fund	12 Months	0.85%	0.90%	1.75%	1.75%	3.50%	0.90%	0.90%	0.90%
DSPBR Equity Fund	12 Months	0.85%	0.90%	1.75%	1.75%	3.50%	0.90%	0.90%	0.90%
DSPBR Small and Midcap Fund	12 Months	0.90%	0.90%	1.80%	1.75%	3.55%	0.90%	0.90%	0.90%
DSPBR India TIGER Fund	12 Months	1.00%	0.85%	1.85%	1.75%	3.60%	0.85%	0.85%	0.85%
DSPBR Micro Cap Fund	12 Months	0.85%	0.85%	1.70%	1.75%	3.45%	0.85%	0.85%	0.85%
DSPBR Focus 25 Fund	12 Months	0.85%	1.00%	1.85%	1.75%	3.60%	1.00%	1.00%	1.00%
DSPBR Opportunities Fund	12 Months	1.00%	1.00%	2.00%	1.75%	3.75%	1.00%	1.00%	1.00%
DSPBR NRNE Fund	12 Months	1.00%	1.00%	2.00%	1.75%	3.75%	1.00%	1.00%	1.00%
DSPBR Technology.com Fund	12 Months	1.00%	1.00%	2.00%	1.75%	3.75%	1.00%	1.00%	1.00%
ELSS									
DSPBR Tax Saver Fund	Nil	0.00%	1.50%	1.50%	1.75%	3.25%	1.50%	1.50%	1.00%
Hybrid									
DSPBR Balanced Fund	12 Months	0.90%	1.00%	1.90%	1.75%	3.65%	1.00%	1.00%	1.00%
DSPBR Equity Savings Fund	12 Months	1.00%	0.85%	1.85%	1.00%	2.85%	0.85%	0.85%	0.85%
DSPBR MIP Fund	12 Months	1.00%	0.85%	1.85%	1.75%	3.60%	0.85%	0.85%	0.85%
International FOF									
DSPBR Global Allocation Fund	12 Months	1.00%	0.50%	1.50%	1.75%	3.25%	0.50%	0.50%	0.50%
DSPBR US Flexible Equity Fund	12 Months	1.00%	0.50%	1.50%	1.75%	3.25%	0.50%	0.50%	0.50%
DSPBR World Agriculture Fund	12 Months	0.80%	0.50%	1.30%	1.75%	3.05%	0.50%	0.50%	0.50%
DSPBR World Gold Fund	12 Months	0.80%	0.50%	1.30%	0.00%	1.30%	0.50%	0.50%	0.50%
DSPBR World Mining Fund	12 Months	0.80%	0.50%	1.30%	1.75%	3.05%	0.50%	0.50%	0.50%
DSPBR World Energy Fund	12 Months	0.80%	0.50%	1.30%	1.75%	3.05%	0.50%	0.50%	0.50%
Domestic FOF									
DSPBR Dynamic Asset Allocation Fund	12 Months	1.00%	1.00%	2.00%	1.75%	3.75%	1.00%	1.00%	1.00%

Type of Brokerage	Clawback	Upfront (Trial Paid in advance)	Trail Year 1	Total Payout Year 1	Additional B15 Incentive	Total Payout Year 1 - B15	Trail Brokerage for : T15 & B 15	
		T15 & B15	T15 & B15	T15			Year 2	Year 3 & Onwards
Location		All Amt	All Amt	All Amt				
Transaction Limit (Rs)								
Fixed Income								
DSPBR Bond Fund	12 Months	0.75%	0.75%	1.50%	1.00%	2.50%	0.75%	0.75%
DSPBR Income Opportunities Fund	12 Months	0.50%	0.75%	1.25%	1.00%	2.25%	0.75%	0.75%
DSPBR Strategic Bond Fund	Nil	0.00%	0.75%	0.75%	0.00%	0.75%	0.75%	0.75%
DSPBR Banking and PSU Debt Fund	Nil	0.00%	0.50%	0.50%	0.00%	0.50%	0.50%	0.50%
DSPBR Government Securities Fund	Nil	0.00%	0.75%	0.75%	0.00%	0.75%	0.75%	0.75%
Constant Maturity 10 Year GSEC fund	Nil	0.00%	0.20%	0.20%	0.00%	0.20%	0.20%	0.20%
DSPBR Money Manager Fund	Nil	0.00%	0.80%	0.80%	0.00%	0.80%	0.75%	0.75%
DSPBR Liquidity Fund	Nil	0.00%	0.05%	0.05%	0.00%	0.05%	0.05%	0.05%
DSPBR Ultra Short Term Fund	Nil	0.00%	0.30%	0.30%	0.00%	0.30%	0.30%	0.30%
DSPBR Treasury Bill Fund	Nil	0.00%	0.25%	0.25%	0.00%	0.25%	0.25%	0.25%

Type of Brokerage	Clawback	Upfront (Trial Paid in advance)	Trail Year 1 for T15 & B15		Total Payout Year 1	Additional B15 Incentive	Total Payout Year 1 - B15	Trail Brokerage for : T15 & B 15
		T15 & B15	0-3 Months	4-12 Months	T15			Year 2 & Onwards
Location		All Amt	All Amt	All Amt	All Amt			
Transaction Limit (Rs)								
DSPBR Short Term Fund	3 Months	0.25%	0.00%	0.75%	0.81%	0.00%	0.81%	0.75%

Exit Load

Schemes	Exit Load
All Equity, Hybrid Funds, DSPBR FOF's (Overseas) & DSPBR DAAF	Holding period from date of allotment: <12 months - 1%; >=12 months - Nil
DSPBR Tax Saver Fund	Investments in DSPBR Tax Saver Fund are subject to lock-in period of 36 months from the allotment.
DSPBR Short Term Fund	Holding period from date of allotment: <= 3 months - 0.25%; > 3 months - Nil
DSPBR Income Opportunities Fund	Holding period from date of allotment: <12 months - 1%, >= 12 Months - Nil
DSPBR Bond Fund	Holding period from date of allotment: <=12 months - 1%; >12 months - Nil
All other Fixed Income Funds	Nil