

# **COMMISSION STRUCTURE - 1st April to 30th April 2015** **IFA Advisory 3**



Private & Confidential - Only for distributors	Exit Load	Clawback period ^	Across all cities (T15 & B15)			T15 Cities Total 1st year (D) = (A+B+C)	Additional Payout for B15 Business <sup>§</sup>		B15 Cities Total 1st year (D+E+F)
			Trail Paid in Advance (TPA) (B)	1st year (C)	2nd year		TPA (E)	Trail 1st year only (F)	
SCHEME NAME									
Balanced Fund, Select Large Cap Fund, Top 100 Fund, Dynamic Plan, Banking & Financial Services Fund, Infrastructure Fund	1 year	1 year	0.75%	0.75%	0.75%	1.50%	1.50%	Nil	3.00%
Balanced Advantage Fund, Midcap Fund	18 months		0.75%	0.75%	0.75%	1.50%	1.50%	Nil	3.00%
Global Stable Equity Fund	18 months	1 year	0.75%	0.75%	0.75%	1.50%	1.50%	Nil	3.00%
Dividend Yield Equity Fund			0.75%	0.75%	0.75%	1.50%	1.50%	Nil	3.00%
Focused Bluechip Equity Fund, Value Discovery Fund, US Bluechip Equity Fund	1 year		0.75%	0.75%	0.75%	1.50%	1.50%	Nil	3.00%
FMCG Fund, Technology Fund, Exports and Other Services Industries Fund, Top 200, Indo Asia Fund			0.75%	0.75%	0.75%	1.50%	Nil	Nil	1.50%
Tax Plan	3 yr lock in	Nil	0.50%	0.90%	0.90%	1.40%	1.50%	Nil	2.90%
Child Care Plan - Gift Plan	3 years	1 year	0.50%	0.90%	0.90%	1.40%	1.50%	Nil	2.90%
Equity Income Fund	1 year		0.75%	0.50%	0.50%	1.25%	Nil	Nil	1.25%
Regular Income Fund			0.70%	0.50%	0.50%	1.20%	Nil	Nil	1.20%
Regular Saving Fund			0.75%	0.50%	0.50%	1.25%	0.50%	Nil	1.75%
Income Plan			0.65%	0.50%	0.50%	1.15%	Nil	Nil	1.15%
Monthly Income Plan	3 years		0.75%	0.50%	0.50%	1.25%	Nil	Nil	1.25%
MIP 25			0.75%	0.50%	0.50%	1.25%	Nil	Nil	1.25%
Corporate Bond Fund			0.70%	0.50%	0.50%	1.20%	Nil	Nil	1.20%
Child Care Plan - Study		0.50%	0.75%	0.75%	1.25%	Nil	Nil	1.25%	
Long Term Plan	1 month	Nil	Nil	0.70%	0.70%	0.70%	Nil	Nil	0.70%
Short Term Plan	3 months		Nil	0.65%	0.65%	0.65%	Nil	Nil	0.65%
Dynamic Bond fund	Nil		Nil	0.60%	0.60%	0.60%	Nil	Nil	0.60%
Income Opportunities Fund	1 year		Nil	0.40%	0.40%	0.40%	Nil	Nil	0.40%
Equity - Arbitrage Fund	1 month	1 year	Nil	0.40%	0.30%	0.40%	Nil	Nil	0.40%
Regular Gold Savings Fund	15 months		0.75%	0.40%	0.40%	1.15%	Nil	Nil	1.15%
Nifty Junior Index Fund	7 Days		Nil	0.40%	0.40%	0.40%	Nil	Nil	0.40%
Index Fund			Nil	0.30%	0.30%	0.30%	Nil	Nil	0.30%
Long Term Gilt Fund		Nil	Nil	0.75%	0.25%	0.75%	Nil	Nil	0.75%
Short Term Gilt Fund		Nil	Nil	0.40%	0.40%	0.40%	Nil	Nil	0.40%
Gilt Treasury Plan - PF Option	Nil	Nil	Nil	0.40%	0.40%	0.40%	Nil	Nil	0.40%
Gilt Investment Plan - PF Option	Nil		Nil	0.25%	0.15%	0.25%	Nil	Nil	0.25%
Banking & PSU Debt Fund	1 month		Nil	0.30%	0.25%	0.30%	Nil	Nil	0.30%
Constant Maturity Gilt Fund	7 Days		Nil	0.25%	0.25%	0.25%	Nil	Nil	0.25%
Ultra Short Term Plan	Nil		Nil	0.25%	0.20%	0.25%	Nil	Nil	0.25%
Savings Fund	Nil		Nil	0.80%	0.50%	0.80%	Nil	Nil	0.80%
Flexible Income Plan			Nil	0.05%	0.05%	0.05%	Nil	Nil	0.05%
Liquid Plan, Money Market Fund			Nil	0.025%	0.025%	0.025%	Nil	Nil	0.025%

SIP-STP Applications - "Trail paid in advance" & "Trail" brokerage would be same as normal purchase application. SIP/STP registered at B 15 Location will get respective additional payout on per installment basis. Subject to revision on prospective basis.

For all Switches - "Trail paid in advance" and / or Trail brokerage would be as normal purchase application (excluding switch between plans / options)

Any "Trail paid in advance" brokerage paid on mobilization through dividend transfer into a scheme are subject to claw back as applicable to "Trail Paid in Advance" brokerage paid on mobilization through normal lumpsum purchase.

<sup>^</sup> "Trail paid in advance" is subject to proportionate claw back incase of outflows (Redemption, Switch (including between plans / options), Systematic withdrawal plan) with in the stated period from the date of investments. Stated period for All above schemes are mentioned in "Clawback Period" column.

<sup>§</sup> "Additional payout for B-15 Business" will be completely clawed back / recovered from future brokerage payments in case the investment is redeemed / Switched Out / Systematically Transferred within 1 year from date of investment.

"B – 15" cities are cities other than Mumbai (including Thane & Navi Mumbai), Delhi (including NCR), Bangalore, Kolkata, Chennai, Pune, Ahmedabad, Hyderabad (including Secundrabad), Baroda, Panajim, Jaipur, Lucknow, Surat, Kanpur and Chandigarh collectively referred to as "T – 15" cities. NRI investments will be considered as T-15 investments for the purpose of brokerage payment

Disclaimer: 1) The Brokerage / incentive given above is gross of any taxes/charges/levy and the same will be deducted at the time of payment of incentive as per prevailing rules. 2) The Brokerage structure mentioned hereinabove is solely payable to all AMFI / NISM certified IFAs of ICICI Prudential Asset Management Company Ltd. (IPAMC) and can be changed by IPAMC at its sole discretion without any prior intimation or notification. IPAMC shall not be responsible for any losses incurred by any one due to change in the Brokerage/Incentive structure. Brokerage/incentive will be subject to clawback provisions, if applicable. 3) All IFAs should abide by the code of conduct and rules/regulations laid down by SEBI and AMFI. Also, it is specifically mentioned that the Agents/Distributors will neither pass on or rebate Brokerage/Incentive back to investors nor tempt them with rebate/gifts. IPAMC will take disciplinary action against any IFAs who is found violating the rules, regulations and Code of conduct. 4) The aforesaid incentive is for genuine business mobilization and any misuse of this will tantamount to forfeiting of the incentive/rewards & hence you are advised to abide by the code of conduct and/or rules / regulations laid down by SEBI and AMFI. 5) IPAMC reserves the right to suspend the brokerage/incentive payable, if it is brought to our notice that higher incentive is offered to sub-brokers or have violated the code of conduct and / or rules / regulations laid down by SEBI and AMFI. 6) The IFAs shall disclose all commissions (in the form of trail commission or any other mode) payable to them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to the investor. 7) The decision of IPAMC in all matters pertaining to the incentives will be final and binding in all respects on the participants. 8) The transactions will be subject to terms and conditions as mentioned in the Scheme Information Document (SID) & Statement of Additional Information (SAI) and shall be binding on all participants. 9) Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. 10) Your acceptance of this communication and further receipt of consideration upon collection will be deemed to be acceptance of the above terms.

**Mutual Fund investments are subject to market risks, read all scheme related documents carefully.**