

Preferred Brokerage Structure Effective From 1st Jan'14 to 31st March'13

Category	Scheme Name	Upfront	Trail - 1st Year	2nd year o/w Trail Perpetual
Liquid	UTI Liquid Cast Plan, UTI Money Market Fund	Nil	0.05%	0.05%
Ultra Short Term	UTI Treasury Advantage Fund	Nil	0.10%	0.10%
	UTI Floating Rate Fund	Nil	0.60%	0.30%

Category	Scheme Name	Upfront	Trail - 1st Year	2nd year o/w Trail Perpetual
Gilt	UTI Gilt Advantage Fund	Nil	0.60%	0.30%
	UTI GSEC STP	Nil	0.30%	0.15%

Scheme Names	Upfront*	Additional Upfront \$	UTI Special Incentive **	Total Upfront	Trail - 1st Year	2nd year o/w Trail Perpetual
UTI Short Term Income Fund	0.10%	0.10%	0.30%	0.50%	NIL	0.10%

Scheme Names	Top 15 Cities					
	Upfront*	Additional Upfront \$	Total Upfront	Trail - 1st Year	2nd year o/w Trail Perpetual	
UTI Bond Fund	0.75%	0.25%	1.00%	0.25%	1.00%	
	Beyond 15 Cities					
	Upfront*	Additional Upfront \$	Additional B15 Incentive **	Total Upfront	Trail - 1st Year	2nd year o/w Trail Perpetual
	0.75%	0.25%	0.25%	1.25%	0.25%	1.00%

Category	Scheme Name	TOP 15 CITIES		
		Upfront*	Trail - 1st Year	2nd year o/w Trail Perpetual
Income	UTI Dynamic Bond Fund	0.25%	0.50%	0.40%
	UTI Credit Opp. Fund	1.25%	0.25%	1.00%
MIS	UTI MIS	1.00%	0.25%	1.00%
	UTI MIS Advantage	1.00%	0.25%	1.00%

Category	Scheme Name	BEYOND 15 CITIES			
		Upfront*	Additional B15 Incentive**	Trail - 1st Year	2nd year o/w Trail Perpetual
Income	UTI Dynamic Bond Fund	0.25%	0.00%	0.50%	0.40%
	UTI Credit Opp. Fund	1.00%	0.50%	0.25%	1.00%
MIS	UTI MIS	1.25%	0.50%	0.25%	1.00%
	UTI MIS Advantage	1.25%	0.50%	0.25%	1.00%

Note:

- The above structure is application for Q3 FY13-14, from 1st Jan'14 to 31st March'13. Any change in the structure (if any) will be communicated as when it takes place.
- The structure of commission is independently applicable to each scheme.
- Intermediaries are not entitled to commission / incentive on their own business. Commission / incentive is not payable for investment made by sponsors of UTI AMC.

4. The commission rates are inclusive of all taxes/ levies/statutory duties /service tax etc.
5. \$ Subject to mobilization of Rs.5 Lacs & more under the specified ARN.
6. *In case the investment is redeemed within the exit load period, as applicable the proportionate (i.e. for the remaining period of exit load period) of upfront (including additional upfront / incentive, if any) paid shall be recovered/clawed back/set off from the future brokerage payments, at AMC's sole discretion.
7. **In case the investment is redeemed within the exit load period, the additional upfront (including additional upfront/incentive etc.) paid shall be recovered / clawed back / set off fully from the future brokerage payments, at AMC's sole discretion.
8. First year trail commission is calculated from the date of the investment till the end of the first year of investment or till the investor stays invested in the scheme, whichever is earlier and is calculated on pro-rata basis, by taking the average of net asset value during the period under consideration and on the outstanding units.
9. Second year & onwards trail commission is calculated from date of completion of one year from the date of investment. It is calculated on pro-rata basis, by taking average of net asset value during the period under consideration. Second year trail commission as applicable to the scheme is paid either perpetually till the investor stays invested in the scheme or for the specific period mentioned in the commission structure applicable to the scheme.
10. UTI AMC may change the rates/periodicity etc. of commission / trail commission in case of change in regulations / expense ratio and any other factors which have an impact on such payments.
11. The objective of the above structure is to reward genuine investments canvassed by distributors. Any misuse of the commission/reward structure should be discouraged and commission of such agents found involved in any malpractice may be withheld.
12. T-15 refers to the Top 15 Cities provided by AMFI and B-15 refers to all the cities beyond the Top 15 Cities. The list of Top 15 Cities is as follows Mumbai (including Thane & Navi Mumbai), Delhi (including NCR), Bangalore, Kolkata, Chennai, Pune, Ahmedabad, Hyderabad, Baroda, Panjim, Jaipur, Lucknow, Surat, Kanpur and Chandigarh.
13. UTI AMC reserves the right to modify, withdraw or suspend the brokerage structure at any time.