

**Category I: Equity and Balanced Fund**

T15					B15				
Upfront	Additional Upfront	Trail-1st Year	Trail-2nd Year	Trail-3rd Year onwards	Upfront*	Additional Upfront**	Trail-1st Year	Trail-2nd Year	Trail-3rd Year onwards
0.50	0.10	0.50	0.50	0.50	0.50	1.50	0.50	0.50	0.50

**CATEGORY III: UTI Nifty Index Fund**

Category	Marketing Expense	Trail - 1st Year	Trail 2nd year & Perpetual	Additional Marketing Expenses
All	Nil	0.75%	0.20%	Nil

The above structure is valid from 1st Jan'14 to 31st March'13.

Category I: Equity & Balanced Fund - Balanced Fund, Banking Sector Fund( Regular Plan), Contra Fund, Dividend Yield Fund, Energy Fund, Equity Fund, Infrastructure Fund, Leadership Equity Fund, Masterplus Unit Scheme, Mastershare Unit Scheme, Master Value Fund, Mid Cap Fund, MNC Fund, Opportunities Fund, Pharma & Healthcare Fund, Services Industries Fund, Top 100 Fund, Transportation & Logistics Fund, Wealth Builder Fund Series-II (Retail Plan) & CRTS

T-15 refers to the Top 15 Cities provided by AMFI and B -15 refers to all the cities beyond the Top 15 Cities. The list of Top 15 cities is as follows Mumbai (including Thane & Navi Mumbai), Delhi (including NCR), Bangalore, Kolkata, Chennai, Pune, Ahmedabad, Hyderabad, Baroda, Panjim, Jaipur, Lucknow, Surat, Kanpur and Chandigarh

1st Year total includes all expenses i.e. marketing expense/ 1st year trail/ additional upfront,focussed fund offer and contest if any.

UTI AMC may change the rates / periodicity etc. of commission/ trail commission in case of change in regulations / expense ratio and any other factors which have an impact on such payments.

Please read the latest SID/s and addendums thereto carefully confirm the scheme details

In case the investment is redeemed within the exit load period, as applicable, the proportionate (i.e. for the remaining period of exit load period) of upfront (including additional upfront/ incentive, if any) paid shall be recovered/clawed back/ set off from the future brokerage payments, at AMC's sole discretion.

\*In case the investment is redeemed within the exit load period, as applicable, the proportionate (i.e. for the remaining period of exit load period) of upfront (including additional upfront/ incentive, if any) paid shall be recovered/clawed back/ set off from the future brokerage payments, at AMC's sole discretion.

\*\* In case the investment is redeemed within the exit load period, the additional upfront (including additional upfront/incentive etc.) paid shall be recovered /clawed back/set off fully from the future brokerage payments, at AMC's sole discretion

For switches between schemes following would be applicable

1. Income & Liquid fund/s to Equity and Balanced fund/s: Upfront & additional upfront commission as payable on destination scheme in respect of switchover. Trail commission as applicable to the destination fund will be payable in respect of switchover.
2. Equity & Balanced fund/s to Equity & Balanced fund/s: No upfront or additional upfront would be payable. Trail commission as applicable to the destination fund will be payable in respect of switchover.
3. Equity & Balanced fund/s to Income & Liquid fund/s including UTI RBP, UTI ULIP, UTI CCP Balance and Advantage: Upfront & additional upfront commission as payable on destination scheme in respect of switchover. Trail commission as applicable to the destination fund will be payable in respect of switchover.
4. Income fund/s to Income fund/s: Upfront & additional upfront commission as payable on destination scheme in respect of switchover. Trail commission as applicable to the destination fund will be payable in respect of switchover.