

Commission Structure

(Effective from January 1st, 2014 to March 31st, 2014)



PRIVATE & CONFIDENTIAL

IFAs Advisory 3	Across all Cities (T 15 & B 15)				Additional payout for B 15 Business	
Name of Schemes	Trail paid in advance	Trail 1st Year (Annualised)	Trail		Additional Trail paid in advance	Additional Trail (1st Year only)
			2nd year	3rd year onwards		
Equity Funds						
Dynamic Plan & Discovery fund, Infrastructure Fund, Focused Bluechip Equity Fund, Target Returns Fund (Open Ended Diversified Equity Fund. There is no guarantee or assurance of returns), Mid Cap fund, Balanced fund, Top 200 Fund, US Bluechip Equity fund, Top 100 fund, Balanced Advantage Fund & Global Stable Equity Fund	0.75%	0.75%	0.75%	0.75%	1.25%	Nil
Banking & Financial Services Fund, FMCG Fund, Technology Fund	0.75%	0.75%	0.75%	0.75%		
Indo Asia Fund	Nil	0.50%	0.50%	0.50%		
Exports and Other Services Industries Fund	0.25%	0.75%	0.75%	0.75%		
Child Care Plan - Gift	1.00%	0.50%	1.00%	1.00%		
Tax Plan (Trail 4th year onwards - 0.50%)	4.25%	Nil	Nil	Nil	1.50%	Nil
Nifty Junior Index Fund	0.65%	Nil	0.50%	0.50%		
Index Fund	Nil	0.30%	0.30%	0.30%		
Hybrid Funds						
MIP 5 (An open ended Income fund. Monthly income is not assured and is subject to the availability of distributable surplus) *	0.65%	1.60% (7th Month onward)	0.70%	0.70%		
Monthly Income Plan (An open ended Income fund. Monthly income is not assured and is subject to the availability of distributable surplus) *	0.90%	Nil	0.60%	0.60%	1.40%	Nil
MIP 25 (An open ended Income fund. Monthly income is not assured and is subject to the availability of distributable surplus) *	1.25%	0.25%	0.50%	0.50%	0.75%	Nil
Child Care Plan - Study	0.75%	0.50%	0.75%	0.75%		
Blended Plan A	Nil	0.45%	0.30%	0.30%		
ICICI Prudential Equity - Arbitrage Fund	Nil	0.40%	0.30%	0.30%		
Debt Funds						
Income Plan	Nil	1.20%	0.50%	0.50%		
Dynamic Bond fund *	0.70%	Nil	0.40%	0.40%		
Income Opportunities Fund	0.35%	Nil	0.25%	0.25%		
Regular Savings Fund *	1.00%	Nil	0.50%	0.50%	0.50%	Nil
Corporate Bond fund *	2.00%	Nil	Nil	0.50% (31st month onwards)		
Short Term Gilt Fund	Nil	0.40%	0.40%	0.40%		
Long Term Gilt Fund	Nil	0.75%	0.25%	0.25%		
Gilt Treasury Plan - PF Option	Nil	0.40%	0.40%	0.40%		
Gilt Investment Plan - PF Option	0.25%	Nil	0.15%	0.15%		
Short Term Plan *	0.70%	Nil	0.50%	0.50%		
Long term Plan	0.025%	Nil	Nil	Nil		
Blended Plan B	Nil	0.10%	0.10%	0.10%		
Ultra Short Term Plan	0.075%	0.75% (2nd Month onward)	0.30%	0.30%		
Savings Fund	Nil	0.70%	0.30%	0.30%		
Flexible Income Plan	Nil	0.05%	0.05%	0.05%		
Money Market Funds						
Liquid Plan	Nil	0.05%	0.05%	0.05%		
Money Market Funds	Nil	0.05%	0.05%	0.05%		
Fund of Funds : Advisor Series / Gold						
Advisor Series - Long Term Savings Plan	0.50%	0.75%	0.50%	0.50%		
Advisor Series - Very Aggressive Plan	0.50%	0.75%	0.50%	0.50%		
Advisor Series - Moderate Plan	1.00%	Nil	0.40%	0.40%		
Advisor Series - Cautious Plan	0.90%	Nil	0.40%	0.40%		
Advisor Series - Very Cautious Plan	Nil	0.30%	0.30%	0.30%		
Regular Gold Savings Fund	0.75%	0.40%	0.40%	0.40%		
SIP-STP Applications in Equity schemes - Trail paid in advance & Trail would be same as normal purchase application as mentioned above. SIP/STP registered at B 15 Location will get respective additional payout on per installment basis. Subject to revision on prospective basis.						
For all Switches - Trail in advance and / or Trail would be as normal purchase application (excluding switch between plans / options)						
*For application size above 10 crs in MIP, MIP5, MIP25, Corporate Bond Fund, Regular savings Fund, Dynamic Bond fund & Short Term Plan - Trail paid in advance and B15 additional Trail will be paid as trail.						
ICICI Prudential Income Plan is not a part of ICICI Prudential Chairman's Circle Program 1st Dec 2013 onwards						
Trail paid in advance is paid in advance for the entire stated period. Trail paid in advance, is subject to proportionate claw back incase of outflows (Redemption, Switch (including between plans / options), Systematic withdrawal plan) with in the stated period from the date of investments. Stated period for All above mentioned Equity, Balanced fund, MIP, Debt funds with upfront payouts & FOF excluding very cautious plan is 1 year, MIP5 & Gilt Treasury PF option 6 months, MIP 25, Regular Gold Savings fund & Regular savings fund is 15 months, Corporate bond fund is 30 months, Dynamic Bond fund is 9 Months, Long Term Plan is 3 months, Top 100 Fund, Balanced Advantage Fund & Global Stable Equity Fund is 18 Months, Ultra Short Term Plan is 1 month, Short Term plan is 1 year.						
B - 15 cities are cities other than Mumbai (including Thane & Navi Mumbai), Delhi (including NCR), Bangalore, Kolkata, Chennai, Pune, Ahmedabad, Hyderabad (including Secunrabad), Baroda, Panajim, Jaipur, Lucknow, Surat, Kanpur and Chandigarh collectively referred to as "T - 15" cities. NRI business shall also considered as T 15 business						
Additional payout for B-15 Business will be completely clawed back / recovered from future Trail payments in case the investment is redeemed / Switched Out / Systematically Transferred within 1 year from date of investment.						
The above structure is applicable from January 1st, 2014 to March 31st, 2014. Any change in the structure during the period will be communicated as and when it takes place.						
Disclaimer: The brokerage/incentive given above is gross of any taxes/charges/levy and the same will be deducted at the time of payment on incentive as per prevailing rules. The Brokerage / Incentive structure mentioned hereinabove is solely payable to AMFI/NISM certified distributors of ICICI Prudential Asset Management Company Ltd. (AMC) and can be changed by the AMC at its sole discretion without any prior intimation or notification. The AMC shall not be responsible for any losses incurred by any one due to change in the Brokerage/Incentive structure. The brokerage/incentive is for genuine business mobilization and any misuse of this will tantamount to forfeiting of the brokerage/incentive & hence you are advised to abide by the code of conduct and/or rules / regulations laid down by SEBI and AMFI. All Distributors should abide by the code of conduct and rules/regulations laid down by SEBI and AMFI. Also, it is specifically mentioned that the Distributors will neither pass on or rebate Brokerage/Incentive back to investors nor tempt them with rebate/gifts. The AMC reserves the right to suspend the brokerage/incentive payable, if it is brought to our notice that higher incentive is offered to sub-brokers or have violated the code of conduct and / or rules / regulations laid down by SEBI and AMFI. The decision of the AMC in all matters pertaining to the brokerage/incentive will be final and binding in all respects on the Distributors. The transactions will be subject to terms and conditions as mentioned in the Scheme Information Document (SID) & Statement of Additional Information (SAI) and shall be binding on all Distributors. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. The distributor shall disclose all commissions (in the form of trail commission or any other mode) payable to them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to the investor.						
Mutual Fund investments are subject to market risks, read all scheme related documents carefully.						
For scheme specific risk factors please refer to the respective SIDs or visit www.icicipruamc.com						